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2004 😸								
Q03 PTO U.S. Department of Rev. 10/95 Patent and Trade		Application Number			09/8	349,510		
TRANSMITTAL FORM  (to be used for all correspondence during pendency of filed application)		Filing Date			May 4, 2001			
		First Named Inventor  Group Art Unit Number			Xi Li			
					3629			
			Examiner Name			Richard S. Woo		
Total Number of Pages in This Submission	15	Attorney Docket Number			21790-08992			
ENCL	OSURES	(C	heci	k all that apply	v)			
Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Information Disclosure Statement & PTO/SB/08A					s) of Finication  ication  Brief, I  f Priorit	gure(s) [ n to Board n to Grou Reply Bri ty Docum	d of Appeals and p ef)	
SIGNA	TURE OF	ATI	OR	NEY OR AGE	NT			
Signature: Docian	arti	مس	zho	<del>/</del>				
Attorney/Reg. No.: Dorian Cartwright, Reg. No. 53,855						Dated:	August 19, 2004	
hereby certify that this correspondence, including t irst class mail in an envelope addressed to: Commi f the Express Mail Mailing Number is filled in below Express Mail Post Office to Addressee" service pur	he enclosures ssioner for Par , then this corre	identi tents, espon	fied al P.O. Idence	3ox 1450, Alexandria	i, VA 22	313-1450	on the date shown below.	
Typed or Printed Name: Dorian Cartwrig		7				Dated:	August 19, 2004	
Express Mail Mailing Number (optional):	<del></del>						1	

21790/08992/DOCS/1459925.1

FER TO A MONAITTAL	Complete if Known					
FEETRANSMITTAL	Application Number	09/849,510				
AUG 2 3 2004	Filing Date	May 4, 2001 Xi Li				
AUG 2 3 2004 For FY 2004	First Named Inventor					
Patent fees are subject to annual revision.	Examiner Name	Richard S. Woo				
Applicant daims small entity status. See 37 CFR 1.27	Art Unit	3629				
TOTAL AMOUNT OF PAYMENT (\$) 27.00	Attorney Docket No.	21790-08992				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☒ None ☒ Deposit Account:			3. ADDITIONAL FEES						
Deposit Account Number	19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid		
Deposit Account Name	Fenwick & West LLP	Fee	Fee	Fee	Fee				
706		Code	(\$)	Code	(\$)	Curebane Into Silve for an esti-	····		
	prized to: (check all that apply) pelow  Credit any overpayments	1051 1052	130 50	2051	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet			
	) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification			
	1.17 during the pendency of this	1812	2,520		2,520	•			
application					-	For filing a request for ex parte reexamination			
Charge fee(s) indicated b	pelow, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	<u> </u>		
to the above-identified deposi	it account	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
	LCULATION	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE		1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee	Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
Code (\$) Code (\$)		1255	2,010	2255	1,005	Extension for reply within fifth month			
1001 770 2001 385	Utility filing fee	1401	330	2401	165	Notice of Appeal			
1002 340 2002 170	Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1003 530 2003 265	Plant filing fee	1403	290	2403	145	Request for oral hearing			
1004 770 2004 385	Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80	Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable			
SUBTOT	AL (1) (\$) 0	1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra	a Claims Fee from Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims 49 -46**= 3	x 9 = 27	1503	640	2503	320	Plant issue fee			
Independent Claims 8 -8** = 0	x 43 = 0	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)			
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
	Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201 86 2201 43	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
1203 290 2203 145	Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)			
	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent		Other	fee (specif	y)	· · · · · · · · · · · · · · · · · · ·				
SUBTOT	AL (2) (\$) 27					SUBTOTAL (3) (\$) 0			
"or number previously paid, if g	reater, For Reissues, see above	•Reduc	ed by Basic	c Filing F	ee Paid				

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Dorian Cartwright		Telephone (650) 335-7247				
Signature	Dorian Carte	nizet		Date	August 19, 2004		





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Xi Li, et al.

SERIAL NO.:

09/849,510

FILING DATE:

May 4, 2001

TITLE:

SUPPLY CHAIN VISIBILITY FOR REAL-TIME TRACKING OF GOODS

**EXAMINER:** 

Richard S. Woo

**GROUP ART UNIT:** 

3629

ATTY. DKT. NO.:

21790-01000

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

8/19/04

1: Douc

Dorian Cartwright, Reg. No.: 53,853

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## **AMENDMENT B AND RESPONSE**

SIR:

This amendment for the patent application identified above is in response to an Office Action dated May 19, 2004, which set a shortened statutory period for response that expires August 19, 2004.

Kindly amend this application as indicated herein.

08/24/2004 WASFAW1 00000014 192555 09849510

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